

# PROOF OF CLAIM FORM

*IN RE REV GROUP, INC. SECURITIES LITIGATION*

Lead Case No. 2:18-cv-1268-LA

*In Re Rev Group, Inc. Securities Litigation*  
c/o JND Legal Administration  
P.O. Box 91337  
Seattle, WA 98111  
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## I. GENERAL INSTRUCTIONS

1. To recover as a member of one of the Classes based on your claims in the action entitled *In re REV Group, Inc.*, Lead Case No. 2:18-cv-1268-LA (E.D. Wisc.), you must complete and, on page 6 below, sign this Proof of Claim Form (“Claim Form”). If you fail to submit a timely and properly addressed (as explained in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

**3. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.REVGROUPSECURITIESLITIGATION.COM NO LATER THAN DECEMBER 21, 2021 OR, IF MAILED, BE POSTMARKED NO LATER THAN DECEMBER 21, 2021, ADDRESSED AS FOLLOWS:**

*In Re REV Group, Inc. Securities Litigation*  
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P.O. Box 91337  
Seattle, WA 98111

4. If you are a Class Member and you do not timely request exclusion in response to the Notice dated September 22, 2021, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.**

## II. CLAIMANT IDENTIFICATION

1. If you purchased shares of REV Group, Inc. (“REV Group” or the “Company”) common stock (i) traceable to the January 26, 2017 initial public offering (the “IPO”); (ii) directly traceable to the October 13, 2017 secondary public offering (the “SPO”), *i.e.* purchased on October 13, 2017 at \$27.25 per share; or purchased or otherwise acquired REV Group shares of common stock (iii) during the period from January 26, 2017 through June 7, 2018, inclusive (the “Relevant Period”), you are a “Class Member” and may be entitled to a payment from a class action settlement. If you held REV Group common stock in your name, you are the beneficial owner as well as the record owner. If, however, you purchased or otherwise acquired REV Group common stock during the Relevant Period through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

2. Use **Part I** of this form entitled “Claimant Information” to identify each beneficial owner of REV Group common stock that form the basis of this claim, as well as the owner of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.**

3. All joint owners must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

### III. IDENTIFICATION OF TRANSACTIONS

1. Use **Part II** of this form entitled “Transactions in REV Group Common Stock” to supply all required details of your transaction(s) in REV Group common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of REV Group common stock, whether the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. The date of covering a “short sale” is deemed to be the date of purchase of REV Group common stock. The date of a “short sale” is deemed to be the date of sale.

4. Copies of broker confirmations or other documentation of your transactions must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN REV GROUP COMMON STOCK.**

5. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at (833) 636-2108 to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant an acknowledgment of receipt and acceptance of electronically submitted data. To obtain the mandatory electronic filing requirements and file layout, you may visit the Case Website at [www.RevGroupSecuritiesLitigation.com](http://www.RevGroupSecuritiesLitigation.com) or you may email the Claims Administrator’s electronic filing department at [REVSecurities@JNDLA.com](mailto:REVSecurities@JNDLA.com). Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect after processing your file with your claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at [REVSecurities@JNDLA.com](mailto:REVSecurities@JNDLA.com) to inquire about your file and confirm it was received and acceptable.

# PART I. CLAIMANT IDENTIFICATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's First Name	MI	Beneficial Owner's Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Co-Beneficial Owner's First Name	MI	Co-Beneficial Owner's Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Entity Name (if claimant is not an individual)

Representative or Custodian Name (if different from Beneficial Owner(s) listed above)

Address1 (street name and number)

Address2 (apartment, unit or box number)

City	State	ZIP/Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Foreign Country (only if not USA)	Foreign Country (only if not USA)
<input type="text"/>	<input type="text"/>

Social Security Number (last four digits only)	OR	Taxpayer Identification Number (last four digits only)
<input type="text"/>		<input type="text"/>

Telephone Number (home)	Telephone Number (work)
<input type="text"/>	<input type="text"/>

Email address

Account Number (if filing for multiple accounts, file a separate Claim Form for each account)

Claimant Account Type (check appropriate box):

<input type="checkbox"/> Individual (includes joint owner accounts)	<input type="checkbox"/> Pension Plan	<input type="checkbox"/> Trust	<input type="checkbox"/> Corporation
<input type="checkbox"/> Estate	<input type="checkbox"/> IRA/401K	<input type="checkbox"/> Other (please specify): _____	

# PART II. TRANSACTIONS IN REV GROUP COMMON STOCK

<b>1. PURCHASES DURING THE RELEVANT PERIOD</b> – Separately list each and every purchase/acquisition of common stock from after the opening of trading on January 26, 2017 through and including the close of trading on June 7, 2018. (Must be documented.)			
Date of Purchase (List Chronologically) (MM/DD/YY)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
<b>2. PURCHASES DURING 90-DAY LOOKBACK PERIOD</b> – State the total number of shares of common stock purchased/acquired from after the opening of trading on June 8, 2018 through and including the close of trading on September 4, 2018. <sup>1</sup> (Must be documented.)			
<b>3. SALES DURING THE RELEVANT PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD</b> – Separately list each and every sale/disposition of common stock from after the opening of trading on January 26, 2017 through and including the close of trading on September 4, 2018. (Must be documented.)			
Date of Sale (List Chronologically) (MM/DD/YY)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
/ /		\$	\$
<b>4. Ending Holdings</b> – State the total number of shares of common stock held as of the close of trading on September 4, 2018. If none, write “0” or “Zero.” (Must be documented.)			

<input style="width: 30px; height: 20px;" type="checkbox"/>	<b>IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX</b>
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<sup>1</sup> Information requested in this Claim Form with respect to your transactions from the opening of trading on June 8, 2018 through and including the close of trading on September 4, 2018, is needed only in order to balance your claim.

#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

By signing and submitting this Claim Form, the claimant(s) or the person(s) acting on behalf of the claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation of Net Settlement Fund described in the accompanying Notice. I (We) also submit to the jurisdiction of the United States District Court for the Eastern District of Wisconsin (the "Court") with respect to my (our) claim as a Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in REV Group common stock, if required to do so. I (We) have not submitted any other claim covering the same transactions in REV Group common stock during the Relevant Period and know of no other person having done so on my (our) behalf.

#### V. RELEASES, WARRANTIES, AND CERTIFICATION

1. I (We) hereby warrant and represent that I am (we are) a Class Member as defined in the Notice, that I am (we are) not excluded from the Classes, and that I am (we are) not one of the "Defendant Releasees" as defined in the accompanying Notice.

2. As a Class Member, I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge with prejudice the Released Plaintiffs' Claims as to each and all of the Defendant Releasees (as these terms are defined in the accompanying Notice). This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.

3. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

4. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases of REV Group common stock to the extent requested.

5. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 2021

\_\_\_\_\_  
Signature of Claimant

\_\_\_\_\_  
Type or print name of Claimant

\_\_\_\_\_  
Signature of Joint Claimant, if any

\_\_\_\_\_  
Type or print name of Joint Claimant

\_\_\_\_\_  
Signature of person signing on behalf of Claimant

\_\_\_\_\_  
Type or print name of person signing on behalf of Claimant

\_\_\_\_\_  
Capacity of person signing on behalf of Claimant, if other than an individual (e.g., Administrator, Executor, Trustee, President, Custodian, Power of Attorney, etc.)

# REMINDER CHECKLIST



1. Please sign this Claim Form.

2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.



3. Attach only copies of supporting documentation as these documents will not be returned to you.

4. Keep a copy of your Proof of Claim for your records.



5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. **Your claim is not deemed submitted until you receive an acknowledgment postcard.** If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll free at 833-636-2108.

6. If you move after submitting this Claim Form please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.



7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the address below, by email at [info@RevGroupSecuritiesLitigation.com](mailto:info@RevGroupSecuritiesLitigation.com), or by toll-free phone at 833-636-2108 or you may visit [www.RevGroupSecuritiesLitigation.com](http://www.RevGroupSecuritiesLitigation.com). DO NOT call Defendants or their counsel with questions regarding your claim.

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